

CPT/CPTU – Course

Covered in day 1:

- Introduction on CPT/CPTU
- Stratigraphy/soil classification
- Soil parameters in clay
- Other sensors for geotechnical purposes
- CPT technology for environmental applications



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- CPT technology for environmental applications

Suggest to cover in day 2:

- Historic overview/CPT/CPTU in today's practice/equipment and procedures/deployment procedures/data processing/standards and specifications
- Soil parameters in sand
- Direct application of CPT results: SPT/CPT correlations, pile bearing capacity, compaction control etc
- Case histories (Don/Lorraine/Tom)
- Participants contributions/questions
- Examples of unusual behaviour/examples other soil types

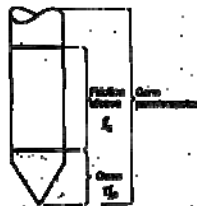


CPT/CPTU – equipment/procedures/standards etc

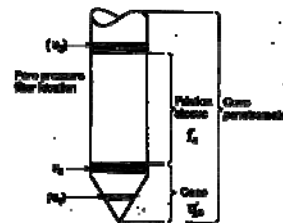
- What is a CPT/CPTU and what do we measure ?
- Equipment for testing:
 - Deployment
 - Cone penetrometers
 - Data acquisition
- Procedures
- Processing and presentation of results
- Available standards and guidelines
- Corrections



TERMINOLOGY FOR CPT



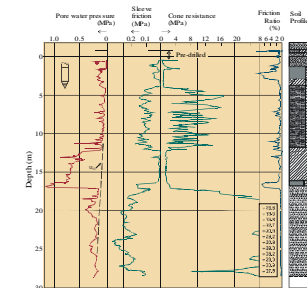
TERMINOLOGY FOR CPTU AND WHAT DO WE MEASURE



In addition frequently measure inclination, i



Example CPTU profile from Holland

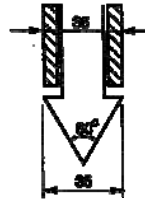


HISTORICAL BACKGROUND CPT

- 1932 First Dutch cone penetration tests (by hand)
- 1935 Delft Soil Mechanics Laboratory (DSML) performs CPT with 10 t manually operated rig
- 1948 Improved design of Dutch cone including conical mantle
- 1953 Measurement of friction sleeve added to mechanical cone
- 1948 DSML develops first electrical cone
- 1965 Fugro develops electrical friction cone
- 1974 Janbu and Senneset (Norway) and Schmertmann (USA) penetrates conventional piezometer. Wissa et al. (USA) and Torstensson (Sweden) simultaneously piezoprobe
- 1980 Roy et al. (Canada) develops probe with combined measurement of cone resistance, sleeve friction and pore pressure



OLD TYPE DUTCH CONE (FROM SANGLERAT, 1972)



Dutch cone penetrometer system
used in the 1940s
(courtesy of Delft Geotechnics)

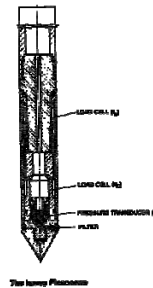


CPT/CPTU on land

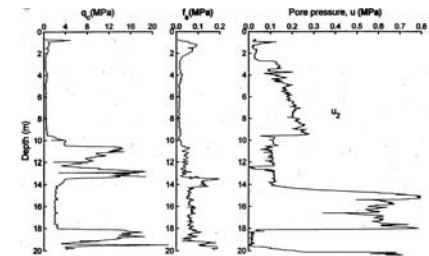


Modern truck mounted system (ConeTec/Gregg)

Example combined CPT and pore pressure probe Piezocone or CPTU



Examples measured CPTU parameters



Example: Measured CPTU Parameters

ROLE OF CPT/CPTU IN TODAY'S SOIL INVESTIGATIONS

Onshore Scandinavia

- Used in large projects by knowledgeable clients
- Gradually taking over after the vane tests; results used for soil profiling and also to define parameters for foundation design
- However, the full potential is not yet used. Not all clients and consultants are aware of the advantages of the test



ROLE OF CPT/CPTU IN TODAY'S SOIL INVESTIGATIONS

Offshore North Sea

- Since 1972 has dominated offshore soil investigations (> 50%)
- Used in most projects
- In some cases investigation consists of only CPTUs



Deployment of CPT/CPTU

Equipment now exists for pushing in cone penetrometers into soil for a large range of conditions

- on land
- offshore



'Old' mechanical CPT rig still in use in Indonesia



Bandung University,
Indonesia, 2000



Example CPT rigs



Geomil rig



Geotech simple rig



CPT/CPTU on land



Track-mounted
system

(ConeTec/Gregg)





Coson® 200 kN

- Continuous Static cone penetrometer
- Take-over principle
- Time saving about 7 sec/m
- No loss of data at one meter intervals
- No dissipation effects interfering with pore water pressure measurements.

Standard CPT Rigs

20 Tonne Crawler

Non-Standard CPT Rigs

15 Tonne Rail Crawler

- Rapid investigative technique. Minimal set-up and test time per local
- No reinstatement required
- Optimises track possession time more effectively
- Mini-crawler with inclined rams for rail embankments
- No lifting required for mobilisation

Non-Standard CPT Rigs

1.5 Tonne Mini Crawler

- Suitable for restricted access (rubber tracked, low ground bearing pressure)
- Thrust capacity 20 tonnes
- Reaction weight 1.5 tonnes (increased with ground anchors & water tanks)
- Suitable for Railway related work

Pagani small trackmounted CPT rig

Onsey, Norway, March 2001

Versatile Mobile Rams

Embankment CPT's

LANKELMA
CONE PENETRATION TECHNOLOGY LTD.



CPT/CPTU on land



Drill-rig system

(ConeTec)



Low headroom CPT - Inside buildings

(ConeTec/Gregg)



CPT in London Underground tunnel



Courtesy of Lankelma, UK



CPT/CPTU

Increasing penetration:

- Increase pushing force
- Reduce rod friction
- Carry out test in drilled borehole



TOTAL CONE PUSH FORCES WITH AND WITHOUT MUD INJECTION (FROM JEFFERIES AND FUNEGÅRD, 1983)



In situ testing in offshore geotechnical investigations

Deployment platforms

- Jack up rigs
- Barges
- Survey ships
- Special soil drilling vessels



Investigations from jack-up platform



Deployment from vessels



Over the side or stern



Through the moonpool

From Fugro brochure



In situ testing in offshore geotechnical investigations

Basically two modes of operation:

- Seabed mode
- Down-hole mode



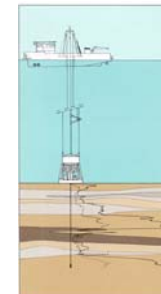
In situ testing in offshore geotechnical investigations

Sea bottom rigs:

- Standard size rigs (e.g. 10 or 15 cm² cone penetrometers)
- Minirigs (1 to 5 cm² cone penetrometers)



Fugro's wheeldrive CPT system



Heavy duty rig
20 t, profiling
to 45-50 m
penetration
possible

From brochure



Roson rig with one set of roller wheels

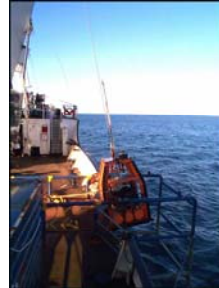


5 ton rig for pipeline investigations with standard size cones

From AP van den Berg brochure



CPT rig with acoustic telemetry



Light version:
-weight < 3 tons
-thrust capacity 1 ton
-base area 2.25 m²
-2 cm² · 5 cm² cones

From Gardline brochure



Example of light mini-rig for use in deep waters

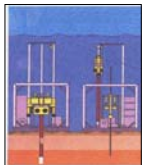


Global, UK



Geo's combined CPT and Vibrocore seabed rig "GeoCeptor"

- CPT and vibrocore in "one operation"
- CPT up to 10 m depth
- Soil sampling up to 6 m depth



GEO "CPT-ROV"

Weight: 200kg
Deployment depth: 3000m
Max penetration: 3 m
Cone dimensions:
10cm² 5cm²



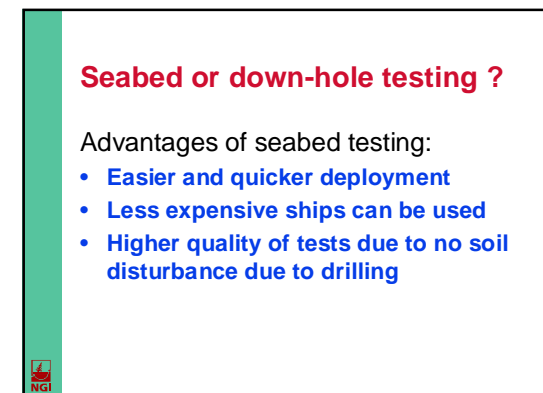
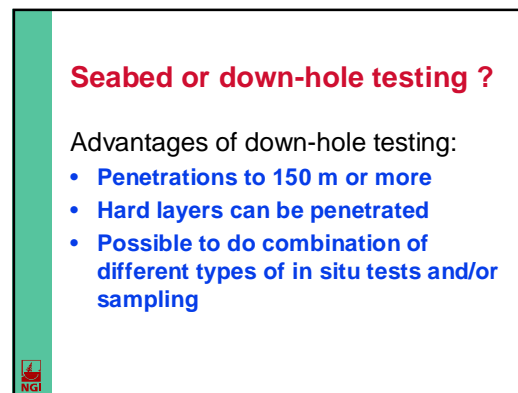
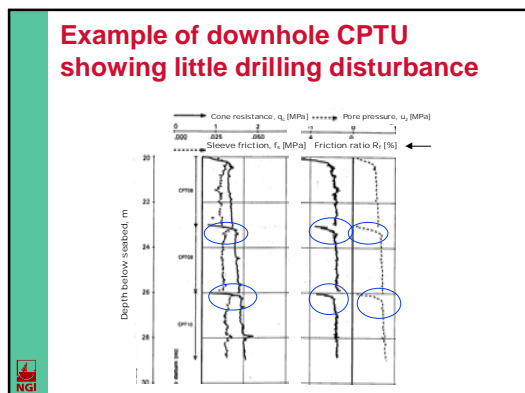
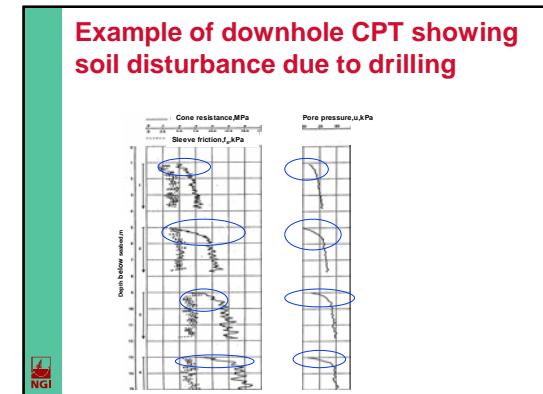
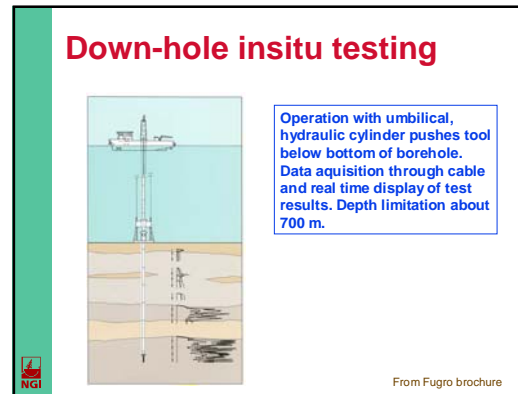
Soil stinger

New Norwegian system that can potentially be used for shallow tests deployed from ROV



Fibrest Sola Golfbane





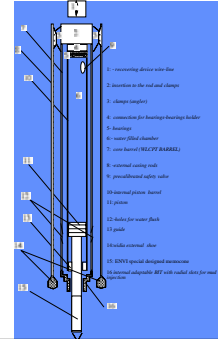
New alternative CPTU while drilling

Developed by Italian company SPG and Swedish company ENVI

- Cone penetrometer protrudes in front of drill bit while drilling in same way as a corer
- CPTU data stored in a memory unit
- Drilling parameters logged at same time



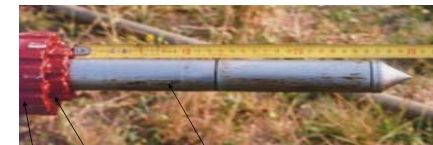
CPTU while drilling; principle



(From M. Sachetto, 2001)



DETAIL OF MEMOCONE AND THE CPTwd-BARREL BIT



SLOTS FOR THE MUD INJECTION

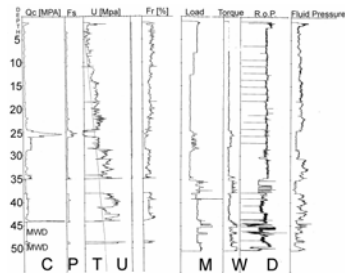
ENVI MEMOCONE

CPTwd-BARREL BIT (rotating part)

From M. Sachetto, 2001



Result from CPTU while drilling



From Sachetto(2001)

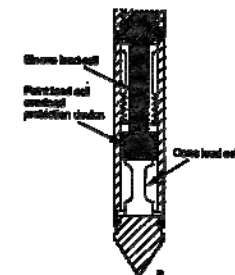


CPT/CPTU MEASUREMENT SYSTEM

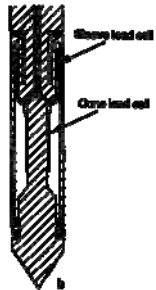
- Cone resistance/sleeve friction strain gauge load cells
- Pore pressure - pressure sensors
- Data acquisition
 - Transmission via cable
 - Wireless transmission
 - Storing data in memory mode



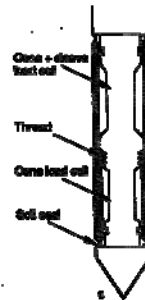
DESIGN OF CONE PENETROMETERS. (a) CONE RESISTANCE AND SLEEVE FRICTION LOAD CELLS IN COMPRESSION



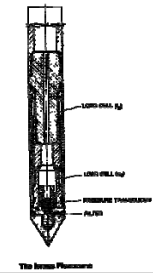
DESIGN OF CONE PENETROMETERS. (b) CONE RESISTANCE LOAD CELL IN COMPRESSION AND SLEEVE FRICTION LOAD CELL IN TENSION



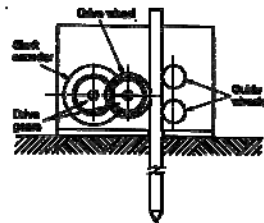
DESIGN OF CONE PENETROMETERS. (c) SUBTRACTION TYPE CONE PENETROMETER.



Typical piezocone with two separate load cells for cone resistance and sleeve friction and a pressure transducer for pore pressure measurements



EXAMPLE OF DEPTH REGISTRATION SYSTEM



EXAMPLE OF DEPTH REGISTRATION SYSTEM



Courtesy of APvandenBerg

CPT/CPTU MEASUREMENT SYSTEM

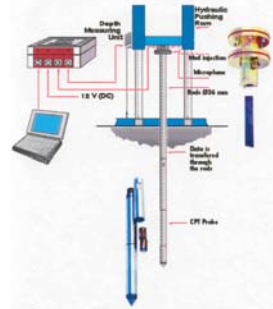
- Cone resistance/sleeve friction strain gauge load cells
- Pore pressure - pressure sensors
- Data acquisition
 - Transmission via cable
 - Acoustic transmission
 - Storing data in memory mode



CPT/CPTU MEASUREMENT SYSTEM



GEOTECH'S SYSTEM WITH ACOUSTIC TRANSMISSION OF SIGNALS



From Geotech brochure



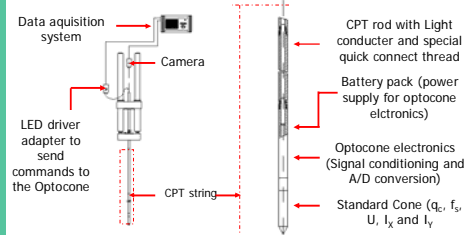
GEOTECH'S SYSTEM WITH ACOUSTIC TRANSMISSION OF SIGNALS



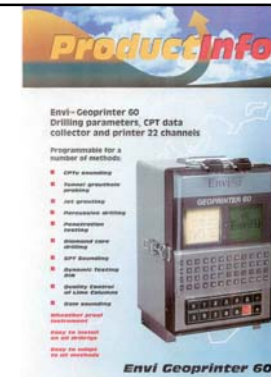
Geotech's wireless CPT system,
a different solution



Schematic overview Optocone® System



From ENVI
brochure



Envi-Geoprinter 60



CPT/CPTU AVAILABLE STANDARDS AND GUIDELINES

- [International Society for Soil Mechanics and Geotechnical Engineering \(ISSMGE\): IRTP \(International Reference Test Procedure\) 1999](#)
- ASTM D: 5778-95, 2000
- BS: 1377, Part 9, 1990
- Dutch Standard, NEN 5140, 1996
- Norwegian Geotechnical Society Guidelines (1995)
- [Eurocode, ISO/FDIS 22476-1:2006\(E\).13 \(2007\)](#)
- And other National Standards/codes



ASTM D 5778 (1995/2000)



Designation: D 5778 – 95

AMERICAN SOCIETY FOR TESTING AND MATERIALS
100 Barr Harbor Dr., West Conshohocken, PA 19428
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**Standard Test Method for
Performing Electronic Friction Cone and Piezocone
Penetration Testing of Soils¹**



Coming European Standard

CEN/TC 341/WG2

Date: 2006-04

ISO/FDIS 22476-1.10

Ground investigation and testing — Field testing

- Part 1: Electrical cone and piezocone penetration tests (CPT and CPTU)
- Part 15: Mechanical cone penetration test (CPT)

Present stage : CEN Enquiry

Plan to be valid: about 2007

Until then IRTP(1999) is the official international document



Main elements of new Eurocode on CPT/CPTU ISO/FDIS 22476-(E).13

- Equipment
- Procedures
- Corrections
- Other aspects



See also IRTP (1999)

Main elements of new Eurocode on CPT/CPTU ISO/FDIS 22476-(E).13

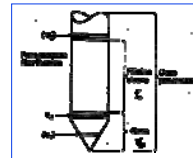
Equipment:

- Cone apex angle : 60 degr.
- Diameter : 36 mm (opens up for range : 25 to 50 mm)
- Area friction sleeve 150 sq.cm
- Preferred filter location for CPTU behind cone

Procedures

Corrections

Other aspects



Pore Pressure

- The pore pressure, u , is the fluid pressure measured during penetration and dissipation testing. The pore pressure can be measured at several locations as shown in Figure 2.3.

- The following notation is used:

- u_1 : Pore pressure measured on the cone face
- u_2 : Pore pressure measured at the cylindrical extension of the cone
- u_3 : Pore pressure measured immediately behind the friction sleeve

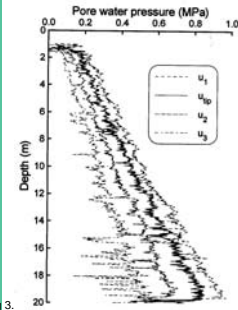


Filter location

Theoretical studies and practical experience have shown that the measured pore pressure varies with the soil type and also the location of the filter element.

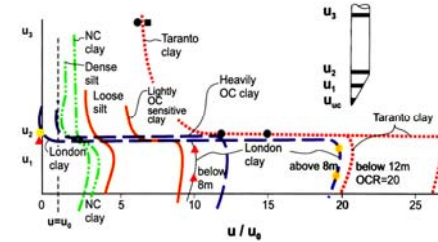


Example of effect of pore pressure location on measured pore pressure



Bothkennar, UK soft clay

Measured pore pressure distributions



Filter location

The new European Standard (and also IRTF (1999)) refers to the location behind the cone (u_2) as the recommended filter location. The advantages of this filter location can be summarised as:

- The filter is much less prone to damage and wear
- Measurements are less influenced by element compressibility
- Pore pressures measured can be used directly to correct cone resistance .
- Measured pore pressures during a dissipation test are less influenced by procedure (locking rods or not)



Main elements of new Eurocode on CPT/CPTU ISO/FDIS 22476-(E).13

Equipment

Procedures:

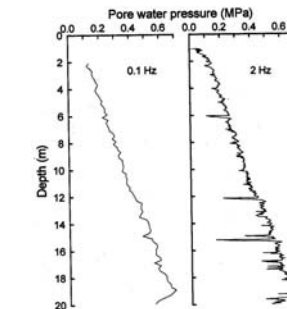
- Penetration speed : 2 cm/sec
- Log at least one set of readings every sec (2.0 cm)
- Requirements to saturation of pore pressure measurement system
- Dissipation tests: stop penetration and log u vs time

Corrections

Other aspects



Effects of frequency of readings on pore water pressure profile

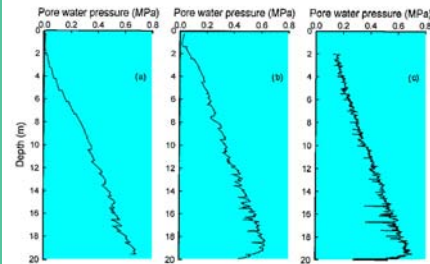


Field saturation of piezocone

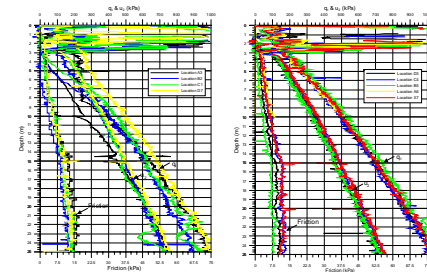


Onsøy, Norway 2001

Importance of saturation on measured pore pressure response



CPTUs at NGI's Onsøy site



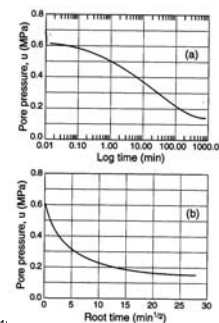
Example of test series with bad saturation

Example of test series with satisfactory saturation

Filter saturation

- Misleading results will be obtained if the filter and its measuring system is not fully saturated.
- Errors will then also occur in the calculation of q_t

Example of dissipation test



Required logging frequency according to ISO/FDIS 22476-(E).13
-1st minute at least: 1 Hz
-Thereafter may half every log (time) cycle

Main elements of new Eurocode on CPT/CPTU ISO/FDIS 22476-(E).13

Equipment

Procedures

Corrections:

- Pore pressure effects on cone resistance
- Effect of inclination

Other aspects

Pore water pressure effects on q_c and f_s

- Due to the "inner" geometry of a cone penetrometer the ambient pore water pressure will act on the shoulder area behind the cone and on the ends of the friction sleeve.
- This effect is often referred to as "the unequal area effect" and influences the total stress determined from the cone and friction sleeve.
- For the cone resistance the unequal area is represented by the cone area ratio 'a' which is approximately equal to the ratio of the cross-sectional area of the load cell or shaft, A_n , divided by the projected area of the cone A_c .

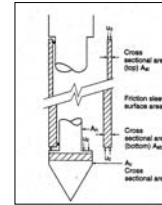


Piezocone Testing in Clay

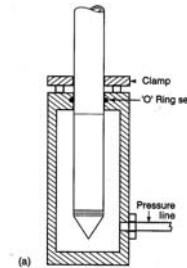
Correction for pore pressure effects on cone resistance:

$$q_t = q_c + (1-a)u_2$$

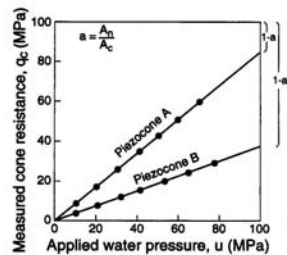
q_c = measured cone resistance
 a = area ratio (0.3- 0.85)
 u_2 = measured pore pressure behind cone



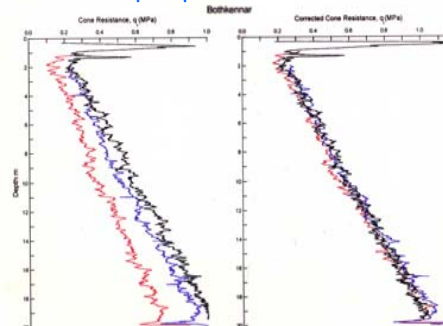
Simple chamber for calibration of a and b factors



Determination of area ratio, a, in calibration vessel



Effect of pore pressure on cone resistance



Cones with area ratio $a = 0.59$ to 0.9

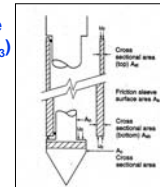


Pore water pressure effects on f_s

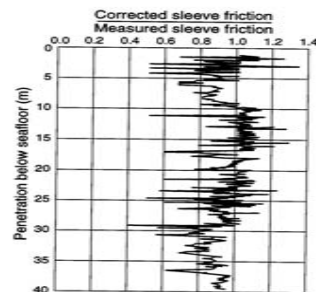
When excess pore pressures are generated the pore pressures are normally different at the upper (u_3) and lower (u_2) ends of the sleeve.

The corrected sleeve friction, f_t , can be given by:

$$f_t = f_s - (u_2 \cdot A_{sb} - u_3 \cdot A_{st}) / A_s$$



Example of correcting f_s for pore pressure effects



CPT/CPTU

Penetration length and penetration depth

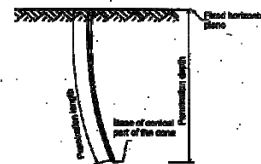


Figure 2.2 Penetration length and penetration depth

Penetration length and depth

- **Penetration depth:** Depth of the base of the cone, relative to a fixed horizontal plane.
- **Penetration length:** Sum of the length of the push rods and the cone penetrometer, reduced by the height of the conical part, relative to a fixed horizontal plane.
- **Note:** The fixed horizontal plane usually corresponds with a horizontal plane through the (underwater) ground surface at the location of the test.

Correction of penetration depth according to IRTP (1999)

$$z = \int_0^l C_h \cdot dl$$

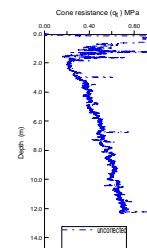
z = penetration depth, in m;
 l = penetration length, in m;
 C_h = correction factor for the effect of the inclination of the cone penetrometer relative to the vertical axis

For **single axis inclinometer**: $C_h = \cos \alpha$
 α is the measured angle relative to vertical axis

For **bi-axial inclinometer**: $C_h = (1 + \tan^2 \alpha + \tan^2 \beta)^{-1/2}$
 α and β are the angles relative to vertical axis and perpendicular to each other

For Accuracy Classes 1, 2 and 3

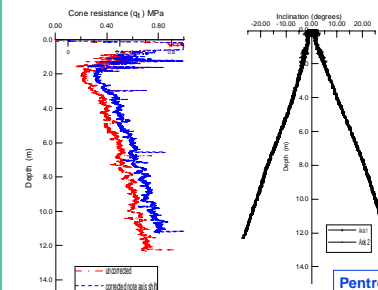
Effects of inclination, coiled rod system



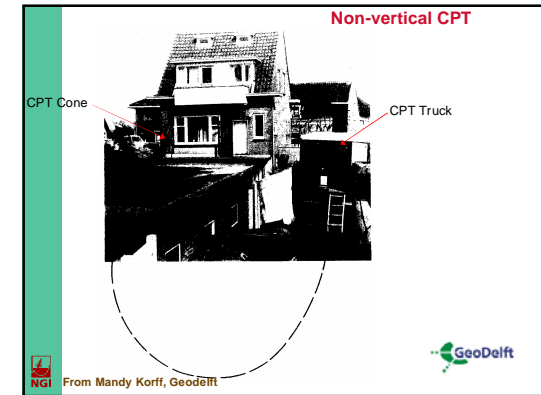
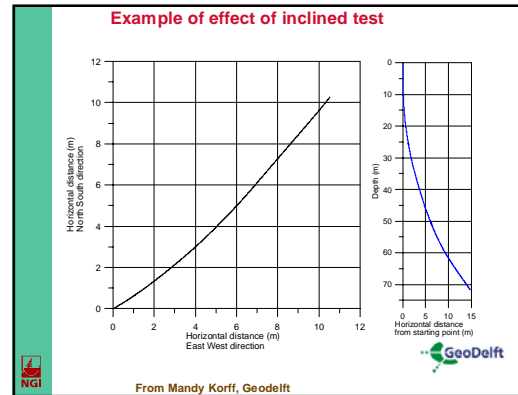
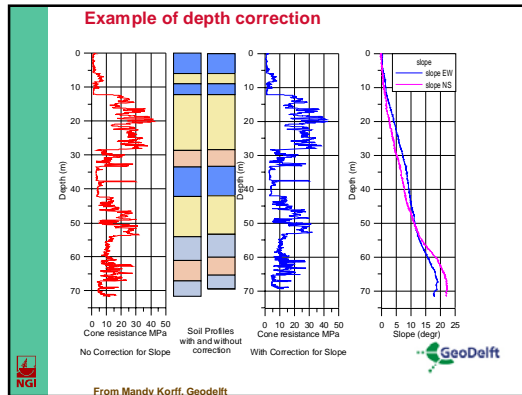
Pentre, UK

Powell, 2001

Effects of inclination, coiled rod system

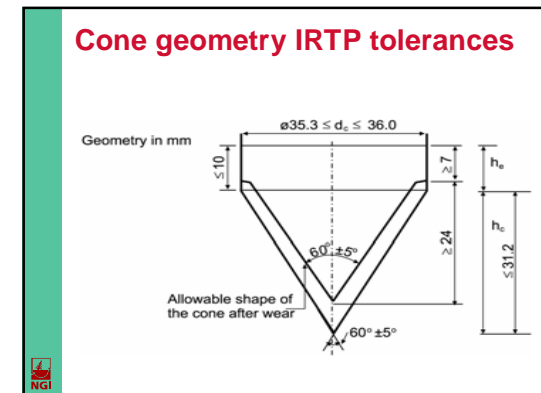


Pentre, UK



- ### Main elements of new Eurocode on CPT/CPTU ISO/FDIS 22476-(E).13
- Equipment
 - Procedures
 - Corrections
 - Other aspects
 - Maintenance, calibration, requirements to accuracy, pore pressure response, wear, tolerances in dimensions, need for documentation when deviating from requirements, etc

- ### Effect of Wear
- If the allowable tolerances of the IRTP for cone diameter are adhered to, then the maximum error in q_c that can be obtained by assuming a 10 cm^2 cross sectional area of the cone is 5%, simply from wear of the cone diameter.
 - These errors can be significantly greater if regular checks are not made for wear of the cone and friction sleeve.



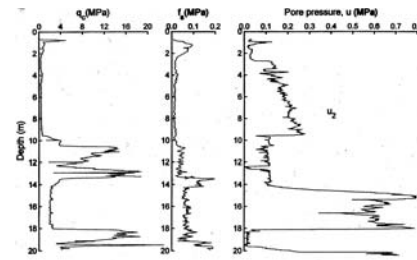
CPT/CPTU data processing and presentation of results

Measured Parameters

- Cone resistance vs depth $q_c - z$
- Sleeve friction vs depth $f_s - z$
- Penetration pore pressure vs depth $u_2 - z$
- Other pore pressures vs depth $u - z$
- Pore pressure dissipation vs time $u - t$
- Inclination



Examples measured CPTU parameters



Example: Measured CPTU Parameters



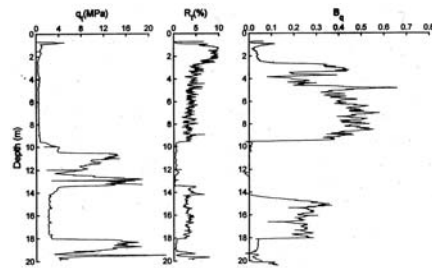
CPT/CPTU New IRTP - presentation

Derived parameters

- Excess pore pressure $\Delta u = u_t - u_o$
- Corrected cone resistance $q_t = q_c + (1 - a)u_2$
- Net cone resistance $q_n = q_t - \sigma_{vo}$
- Friction ratio $R_f = (f_s/q_c) \cdot 100\%$
- Pore pressure ratio $B_q = (u_2 - u_o)/q_t - \sigma_{vo}$
- Normalised excess pore pressure $U = (u_t - u_o)/(u_t - u_o)$



Example derived CPTU parameters



Example: Derived CPTU Parameters



Additional information

Information that must be provided

Each diagram with CPT or CPTU results shall include the following information:

- Site name
- Test No.
- Date of performing test
- Serial No. of cone penetrometer
- Position of porous element(s)
- Ground water level (or water depth)
- Name and signature of the operator and the company
- Depth of predrilling if relevant



Additional information

- Observed wear or damage on cone, friction sleeve or filter element.
- Any irregularities during testing relative to ISSMGE Reference Procedure or other standard being used.
- Area ratio of cone 'a' and the friction sleeve end areas.
- For dissipation tests it should be noted whether or not the rods were clamped or unclamped during dissipation



Use of CPT/CPTU in Geotechnical Soil Investigations

Summary

- Equipment and procedures standardised
- Tests can be carried out onshore and offshore to large depth
- Reliable results can be obtained



Reliability of CPTU results

Best approach to obtain accurate and representative CPTU results is to follow :

New Eurocode on CPT/CPTU

ISO/FDIS 22476-(E).13

or before this is finalized :

International Reference Test Procedure
(ISSMGE, 1999)

- Published in Proc. ECSMFE, Amsterdam, 1999



Use of CPT/CPTU in Geotechnical Soil Investigations

Spare overheads



CHECKS, CORRECTIONS AND PRESENTATION OF DATA

- Factors affecting measurements and corrections
 - Pore water pressure effects on q_c and f_s
 - Filter location
- Effect of axial load on pore water pressure readings
- Temperature effects
- Inclination
- Calibration and Resolution of sensors
- Effect of wear
- Correction for CPTU zeroed at the base of a borehole (offshore)



CHECKS ON DATA QUALITY

- A rough check to see if the measured q_c are within the following acceptable lower limits is:

$$q_c > \sigma_{vo} (= \gamma_{av} \cdot z)$$

where γ_{av} is the average unit weight of soil and z is depth below ground level.

Setting γ_{av} equal to 15 kN/m³ the check becomes:

$$q_c > 15z \text{ in kPa}$$



CHECKS ON DATA QUALITY

Before using the data for interpretation in terms of soil parameters for design it is advisable to make the following checks:

- Check if the difference in zero readings before and after a test are within acceptable limits (in soft clays this may correspond to ± 20 kPa for q_c). If the difference in zero readings is significant then the CPT results should be corrected or discarded.
- If inclination of the cone penetrometer is measured during a test this should be reviewed; for deep tests a significant inclination will tend to give false depth readings.

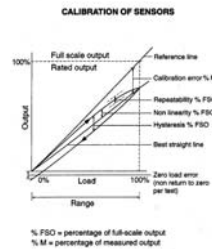


Zero reading, reference reading and zero drift

- **Zero reading:** The output of a measuring system when there is zero load on the sensor, i.e. the measured parameter has a value of zero, any auxiliary power supply required to operate the measuring system being switched on.
- **Reference reading:** the reading of a sensor just before the penetrometer is pushed into the soil e.g. in the offshore case the reading taken at the sea bottom - water pressure acting.
- **Zero drift:** Absolute difference of the zero reading or reference reading of a measuring system between the start and completion of the cone penetration test.



Definition of calibration characteristics



2.17

From Schaap and Zuidberg(1982)

CHECKS, CORRECTIONS AND PRESENTATION OF DATA

The purpose is to ensure that data obtained from a CPT/CPTU are of a known quality and presented in a clear and systematic way.

Three important aspects:

- factors that might affect the measurements and how to avoid or correct for them,
- the presentation of data to ensure that they are of most use
- a quick summary guide to information required to enable checking of the data quality.



CPT/CPTU: Sources of error

- Pore pressure effect on cone resistance and sleeve friction
- Zero shift including temperature effects
- Pore pressure measurement system not saturated
- Large inclination of cone penetrometer
- Cross talk between cone and friction sleeve
- Reduced area of cone due to wear
- Zeroing location
- Friction reducers too close to cone penetrometer
- Electrical faults
- Malfunctioning depth measurements

